

07-03-01

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# UTILITY PATENT APPLICATION TRANSMITTAL

## (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
4238 P

Total Pages in this Submission

### TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application  
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**METHOD AND SYSTEM FOR A LOW COST WIRELESS TELEPHONE LINK FOR A SET TOP BOX**

and invented by:

Bartholomew et al.



If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Which is a:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Which is a:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Enclosed are:

### Application Elements

1.  Filing fee as calculated and transmitted as described below
2.  Specification having 10 pages and including the following:
  - a.  Descriptive Title of the Invention
  - b.  Cross References to Related Applications (*if applicable*)
  - c.  Statement Regarding Federally-sponsored Research/Development (*if applicable*)
  - d.  Reference to Microfiche Appendix (*if applicable*)
  - e.  Background of the Invention
  - f.  Brief Summary of the Invention
  - g.  Brief Description of the Drawings (*if drawings filed*)
  - h.  Detailed Description
  - i.  Claim(s) as Classified Below
  - j.  Abstract of the Disclosure

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## Application Elements (Continued)

3.  Drawing(s) (when necessary as prescribed by 35 USC 113)  
a.  Formal      b.  Informal      Number of Sheets 4

4.  Oath or Declaration  
a.  Newly executed (original or copy)       Unexecuted  
b.  Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)  
c.  With Power of Attorney       Without Power of Attorney  
d.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).

5.  Incorporation By Reference (usable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under  
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.

6.  Computer Program in Microfiche

7.  Genetic Sequence Submission (if applicable, all must be included)  
a.  Paper Copy  
b.  Computer Readable Copy  
c.  Statement Verifying Identical Paper and Computer Readable Copy

## Accompanying Application Parts

8.  Assignment Papers (cover sheet & documents)

9.  37 CFR 3.73(b) Statement (when there is an assignee)

10.  English Translation Document (if applicable)

11.  Information Disclosure Statement/PTO-1449       Copies of IDS Citations

12.  Preliminary Amendment

13.  Acknowledgment postcard

14.  Certificate of Mailing  
 First Class     Express Mail (Specify Label No.): ET526090829US

# UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

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## Accompanying Application Parts (Continued)

15.  Certified Copy of Priority Document(s) (*if foreign priority is claimed*)
16.  Small Entity Statement(s) - Specify Number of Statements Submitted: 1
17.  Additional Enclosures (*please identify below*):

## Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)

18.  Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

### Warning

***An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.***

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Small Entity)**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

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**Fee Calculation and Transmittal**

**CLAIMS AS FILED**

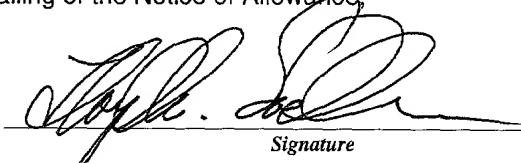
For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	6	- 20 =	0	x \$9.00	\$0.00
Indep. Claims	1	- 3 =	0	x \$40.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
					<b>BASIC FEE</b> \$355.00
OTHER FEE (specify purpose)					\$0.00
					<b>TOTAL FILING FEE</b> \$355.00

A check in the amount of **\$355.00** to cover the filing fee is enclosed.

The Commissioner is hereby authorized to charge and credit Deposit Account No. as described below. A duplicate copy of this sheet is enclosed.

- Charge the amount of \_\_\_\_\_ as filing fee.
- Credit any overpayment.
- Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: *1 July 2001*



Signature

cc:

jc962 U.S. PTO  
07/01/01

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

INVENTORS: David Bartholomew  
Scott Smith  
Alan Stettler

ASSIGNEE: Phonex Broadband Corporation

SERIAL NUMBER: n/a

DATE FILED: n/a

TITLE: METHOD AND SYSTEM FOR A LOW COST WIRELESS  
TELEPHONE LINK FOR A SET TOP BOX

ATTORNEY DOCKET: 4238 P

Assistant Commissioner for Patents  
Box PATENT APPLICATION  
Washington, DC 20231

**FEE CALCULATION SHEET**

Honorable Assistant Commissioner:

The fee for the accompanying patent application is calculated as follows:

Basic Filing Fee (small entity) . . . . .	\$355.00
Independent claims in excess of three . . . . .	\$
(      x 39.00 each)	
Claims in excess of twenty . . . . .	\$
(      x 9.00)	
Recordation of Assignment . . . . .	\$
TOTAL . . . . .	\$355.00

A check for this amount is enclosed.

Respectfully submitted this 1<sup>st</sup> day of July, 2007.

  
Lloyd W. Sadler, Reg. No. 40,154  
MCCARTHY & SADLER, LC  
39 Exchange Place, Suite 100  
Salt Lake City, Utah 84111  
Telephone: (801) 323-9399  
Facsimile: (801) 323-9396

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

INVENTORS: David Bartholomew  
Scott Smith  
Alan Stettler

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Assistant Commissioner for Patents  
Box PATENT APPLICATION  
Washington, DC 20231

**COVER LETTER**

Honorable Assistant Commissioner:

Enclosed herewith please find the following documents comprising a United States patent application: (1) specification, claims and drawings, (2) fee calculation sheet, (3) fee, (4) declaration of inventor(s), (5) assignment with assignment cover sheet, (6) statements of small entity status, and (7) information disclosure statement and form(s) 1449.

Respectfully submitted this 1<sup>st</sup> day of July, 2001.

  
Lloyd W. Sadler, Reg. No. 40,154  
MCCARTHY & SADLER, LC  
39 Exchange Place, Suite 100  
Salt Lake City, Utah 84111  
Telephone: (801) 323-9399  
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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

INVENTORS: David Bartholomew  
Scott Smith  
Alan Stettler

ASSIGNEE: Phonex Broadband Corporation

SERIAL NUMBER: n/a

DATE FILED: n/a

TITLE: METHOD AND SYSTEM FOR A LOW COST WIRELESS  
TELEPHONE LINK FOR A SET TOP BOX

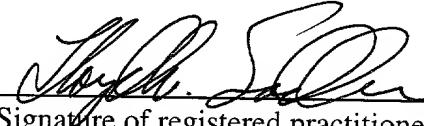
ATTORNEY DOCKET: 4238 P

Assistant Commissioner for Patents  
Box PATENT APPLICATION  
Washington, DC 20231

**CERTIFICATE OF MAILING**

Honorable Assistant Commissioner:

I hereby certify that the attached paper of fee (Patent Application) is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee," Express Mail Mailing Label Number ET526090829US, (under 37 C.F.R. § 1.10) on this 1st day of July, 2007 with sufficient postage and in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

  
Signature of registered practitioner or other person  
having a reasonable basis to expect mailing to occur  
on the date of deposit shown pursuant to 37 C.F.R.  
§ 1.8(a) or person actually making deposit pursuant  
to 37 C.F.R. § 1.10(b).

Lloyd W. Sadler, Reg. No. 40,154

Name of person whose signature is given above